

Regular Correspondence:  
195 North Harbor Drive, Suite 5403, Chicago Illinois 60601-7540

Docketed Correspondence:  
Post Office Box 7131, Chicago Illinois 60680-7131

**Peter K. Trzyna, Esq.**

Telephone: (312) 240-0824 Facsimile: (312) 240-0825

E-mail: pktlaw@email.msn.com

# Fax

**To:** Mr. Thach H. Bui

**Re:** 09/467,646 Response

**Firm:** United States Patent and Trademark Office

**Date / Time:** February 6, 2003

**Street Address:**

**Phone:**

**City, State Zip:** Washington, D.C., 20231

**Fax:** (703) 305-8502 ~~301-9691~~

**cc:**

**No. of Pages:** 12 (including cover)

PRIVACY AND CONFIDENTIALITY NOTICE

The information contained in this communication is confidential and may be legally privileged. It is intended solely for the use of the individual or entity to whom it is addressed and other authorized to receive it. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or taking of any action in reliance on the contents of this information is strictly prohibited. If you received this communication in error, please immediately notify us by a collect telephone call to the writer at the writer's direct number indicated above, and return the original message and documents to the sender at the above address via the United States postal service.

**Message:**

(703) 308-8623

FAX RECEIVED

FEB 10 2003

GROUP 3600

OFFICIAL

I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being deposited the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

PATENT

Paper No.

File: NewMrkt-P99-4

Date: February 6, 2003Signed: 

Peter K. Trzyna (Reg. No. 32,601)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/467,646
Filed	:	December 20, 1999
For	:	DIGITAL COMPUTER SYSTEM FOR OPERATING A CUSTOMIZABLE INVESTMENT FUND
Group Art Unit	:	2648
Examiner	:	BUI, Thach H.

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

SIR:

Please enter the following enclosed documents in the above-identified patent application.

1. Response and Request for Reconsideration.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyna  
(Reg. No. 32,601)

Date: February 6, 2003  
P.O. Box 7131  
Chicago, IL 60680-7131  
(312) 240-0824

**OFFICIAL****FAX RECEIVED**

FEB 10 2003

**GROUP 3600**

#9/Reconsideration  
Lorman  
PATENT 2-13-03

I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being filed by United States Postal Service as first class mail in an envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

Signed: 

Peter K. Trzyna (Reg. No. 32,801)

Date: February 6, 2003

Paper No.

File No. NewMrktP99-4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

Inventors	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/467,646
Filed	:	December 20, 1999
For	:	DIGITAL COMPUTER SYSTEM FOR OPERATING A CUSTOMIZABLE INVESTMENT FUND
Group Art Unit	:	2648
Examiner	:	BUI, Thach H

---

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**RESPONSE AND REQUEST FOR RECONSIDERATION**

S I R:

In response to the Office Action mailed 19 December 2002, in the above-referenced patent application, please reconsider the application in view of remarks set forth below.

**I. REMARKS**

The Examiner is requested to reconsider the application in view of the following remarks.

Respectfully, and generally for the reasons set forth below, the objections and rejections and each ground therefor, are traversed.

**A. Paragraphs of Objections and Rejections****1. Paragraph 1 of the Office Action**